

10 March 2017

Our Ref. FAR Cttee 3.17

Your ref:

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To: Members of the Finance, Audit and Risk Committee

Councillors: Michael Weeks (Chairman), Simon Harwood (Vice-Chairman),
Ian Albert, John Bishop, Jim McNally, Deepak Sangha and Terry Tyler.

Substitutes: Councillors: Clare Billing, Nicola Harris and Steve Jarvis.

You are invited to attend a meeting of the

FINANCE, AUDIT AND RISK COMMITTEE

to be held in

***FOUNDATION HOUSE, ICKNIELD WAY,
LETCWORTH GARDEN CITY**

On

*** WEDNESDAY, 22 MARCH 2017**

at

7.30 p.m.

**[* PLEASE NOTE VENUE AND DAY OF MEETING;
LOCATION MAP OF VENUE ENCLOSED]**

Yours sincerely,



David Miley - Democratic Services Manager

AGENDA

ITEM	PAGE
1. APOLOGIES FOR ABSENCE	-
2. MINUTES To take as read and approve as a true record the Minutes of the Meeting of this Committee held on 23 January 2017.	-
3. NOTIFICATION OF OTHER BUSINESS Members should notify the Chairman of other business which they wish to be discussed by the Committee at the end of the business set out in the agenda. They must state the circumstances which they consider justify the business being considered as a matter of urgency. The Chairman will decide whether the item(s) raised will be considered.	-
4. CHAIRMAN'S ANNOUNCEMENT Members are reminded that any declarations of interest in respect of any business set out in the agenda, should be declared as either a Disclosable Pecuniary Interest or Declarable Interest and are required to notify the Chairman of the nature of any interest declared at the commencement of the relevant item on the agenda. Members declaring a Disclosable Pecuniary Interest must withdraw from the meeting for the duration of the item. Members declaring a Declarable Interest which requires they leave the room under Paragraph 7.4 of the Code of Conduct, can speak on the item, but must leave the room before the debate and vote.	-
5. PUBLIC PARTICIPATION To receive petitions, comments and questions from the public. At the time of preparing the agenda no requests to speak had been received. Any public participation received within the agreed time scale will be notified to Members as soon as is practicable.	-
6. EXTERNAL AUDIT UPDATE REPORT FROM ERNST AND YOUNG <i>To consider an External Audit Update.</i>	1
7. LOCAL GOVERNMENT AUDIT COMMITTEE BRIEFING REPORT FROM ERNST AND YOUNG <i>To consider the latest Local Government Audit Committee Briefing paper.</i>	9
8. SHARED INTERNAL AUDIT SERVICES – UPDATE ON PROGRESS AGAINST THE 2016/17 AUDIT PLAN REPORT OF THE SHARED INTERNAL AUDIT SERVICES AUDIT MANAGER <i>To consider an update on the progress of the Shared Internal Audit Services (SIAS) against the 2016/17 Audit Plan.</i>	21
9. NHDC AUDIT PLAN FOR 2017/18 REPORT OF THE SHARED INTERNAL AUDIT SERVICES AUDIT MANAGER <i>To consider the proposed NHDC Audit Plan for 2017/18.</i>	45

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10. SHARED ANTI-FRAUD SERVICE (SAFS) – PROGRESS REPORT REPORT OF THE SHARED ANTI-FRAUD SERVICE MANAGER <i>To consider a progress report on the work of the Shared Anti-Fraud Service.</i>	63
11. RISK MANAGEMENT UPDATE REPORT OF THE HEAD OF FINANCE, PERFORMANCE AND ASSET MANAGEMENT <i>To consider a Risk Management Update.</i>	87
12. REVIEW OF ANNUAL GOVERNANCE STATEMENT ACTION PLAN 2015/16 AND LOCAL CODE OF CORPORATE GOVERNANCE REPORT OF THE SENIOR LAWYER <i>To consider the review of the Annual Governance Statement Action Plan 2015/16, and to consider the proposed Local Code of Corporate Governance.</i>	95
13. THIRD QUARTER REVENUE BUDGET MONITORING 2016/17 REPORT OF THE STRATEGIC DIRECTOR OF FINANCE, POLICY AND GOVERNANCE <i>To consider the Third Quarter Revenue Budget Monitoring report for 2016/17.</i>	121
14. THIRD QUARTER CAPITAL PROGRAMME MONITORING 2016/17 REPORT OF THE STRATEGIC DIRECTOR OF FINANCE, POLICY AND GOVERNANCE <i>To consider the Third Quarter Capital Programme Monitoring report for 2016/17.</i>	131
15. THIRD QUARTER TREASURY MANAGEMENT MONITORING 2016/17 REPORT OF THE STRATEGIC DIRECTOR OF FINANCE, POLICY AND GOVERNANCE <i>To consider the Third Quarter Treasury Management Monitoring report for 2016/17.</i>	145
16. CAR PARK EXPENDITURE REPORT OF THE HEAD OF FINANCE, PERFORMANCE AND ASSET MANAGEMENT <i>To consider a report on expenditure on Car Parks.</i>	165
17. FUTURE MEETINGS – POSSIBLE AGENDA ITEMS Introduced by the Chairman.	-

A Finance, Audit & Risk Committee Training Event will take place at 7.30pm on Thursday, 6 April 2017 in Brotherhood Hall, Gernon Road, Letchworth Garden City

The dates on which the Finance, Audit and Risk Committee will meet in the 2017-2018 Civic Year are:

Monday, 12 June 2017 (Icknield Centre)
Thursday, 21 September 2017 (Icknield Centre)
Monday, 20 November 2017 (Foundation House)
Monday, 18 December 2017 (Foundation House)
Monday, 22 January 2018 (TBA)
Wednesday, 21 March 2018 (TBA)